



Customer Privacy Policy Notice

Regulation S-P, Privacy of Consumer Financial Information, requires financial institutions, including Laughlin Financial LLC, to provide notice to current clients and prospective clients about their policies and practices concerning the collection and use of customer, non-public information. This privacy policy notice is given to all prospective clients of Laughlin Financial LLC upon entering into a contract with Laughlin Financial LLC and annually thereafter.

Privacy Disclosure Statement

A primary goal of Laughlin Financial LLC is to protect the privacy of its clients. Laughlin Financial LLC does not sell the personal information of clients to anyone. To conduct regular business, Laughlin Financial LLC may collect nonpublic personal information from clients. This information is provided by clients to Laughlin Financial LLC on applications and other forms provided by clients to Laughlin Financial LLC as well as transactions with the firm, our affiliates, or others.

Laughlin Financial LLC may enter into contracts with outside third parties so that Laughlin Financial LLC can assist its clients in servicing their accounts. In order to do this, Laughlin Financial LLC will disclose personal information to companies that help Laughlin Financial LLC process transactions for client accounts (for example, executing client trades at through a broker/dealer). However, Laughlin Financial LLC does not share or disclose any nonpublic customer information except as allowed or required by law. In addition to sharing information in order to provide financial services to clients, Laughlin Financial LLC may be required to disclose personal information to cooperate with regulators or law enforcement authorities, to resolve customer disputes, or for risk control.

Information Safeguarding

Laughlin Financial LLC has implemented strict policies and procedures aimed at protecting the sensitive nature of client information. Laughlin Financial LLC restricts access to client information to only those members of Laughlin Financial LLC that must provide products and services to clients in order to service client accounts. Laughlin Financial LLC has implemented physical, electronic, and procedural safeguards aimed at meeting Laughlin Financial, LLC's duty to protect nonpublic client information.

If you have any questions concerning Laughlin Financial LLC's customer privacy policy or concerns about your personal information please feel free to contact, John R. Laughlin (john@laughlinfinancial.com or 812-552-2113).